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Substitute for form 1449/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/970,389-Conf. #9683
<i>(Use as many sheets as necessary)</i>				Filing Date	October 2, 2001
				First Named Inventor	Donald J. Merkley
				Art Unit	1791
				Examiner Name	M. Halpern
Sheet	1	of	2	Attorney Docket Number	

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**U.S. PATENT DOCUMENTS**

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**FOREIGN PATENT DOCUMENTS**

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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	Foreign Patent Document Country Code*Number*Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
	B1*	EP-0331666-A1	09-06-1989	Eternit Werke Hatschek L et al.	
	B2*	JP-60-118658	06-26-1985	Matsushita Electric Works Ltd.	
	B3*	JP-08-260371	10-08-1996	Alcell Technologies Inc.	
	B4*	JP-2000-044302	02-15-2000	Nichia Corp	
	B5*	EP 0716182	06-12-1996	Alcell Technologies Inc.	

Examiner \_\_\_\_\_ Date \_\_\_\_\_

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant." CITE NO. Those applications (or parts) which are marked with an single asterisk (\*) next to the Cite No are not supplied (under 37 CFR 1.99(a)(7)(ii)) because that application was filed after June 30, 2003 or is in the public domain. Applicant's unique citation designation number (optional) <sup>See Kinda Code of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04</sup> Enter Office that issued the citation, by the two-letter code (PCT, USPTO, ST, etc.) <sup>For Japanese patent documents, indicate the year of the reissue of the Emperor must provide the Japanese Patent Office with the document number.</sup> Enter document and the appropriate symbols as indicated on the document under WIPO Standard ST 16 if applicable. <sup>See [www.uspto.gov](http://www.uspto.gov) for more information on the use of WIPO Standard ST 16.</sup>

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<i>(Use as many sheets as necessary)</i>			
Sheet	2	of	2
		Attorney Docket Number	
		<b>129843-1022</b>	

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	Date Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.